

**{#templaterConfig}{#subject}{#object}{name}**

****

**Version {version}**

**Last Modified {modificationDate}{/object}{/subject}{/templaterConfig}**

{#objects}{#object}

# {name}

# 1.0 Description

@@richTextDescription:{nodeId}@@

# 2.0 Responsibilities

|  |  |
| --- | --- |
| Roles | Responsibilities |
| {#roles}{#target}{name}{/target} | {#responsible}R{/responsible}{#accountable}A{/accountable}{#support}Su{/support}{#consulted}C{/consulted}{#informed}I{/informed}{#verifier}V{/verifier}{#signatory}Si{/signatory}{/roles} |

# 3.0 Advanced

## 3.1 COSO Components

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Internal Env.** | **Objective Setting** | **Event Identification** | **Risk Assessment** | **Risk Response** | **Control Activities** | **Information & Comm.** | **Monitoring** |
| {#internalEnvironment} **✓** {/internalEnvironment} | {#objectiveSetting} **✓** {/objectiveSetting} | {#eventIdentification} **✓** {/eventIdentification} | {#riskAssessment} **✓** {/riskAssessment} | {#riskResponse} **✓** {/riskResponse} | {#controlActivities} **✓** {/controlActivities} | {#informationCommunication} **✓** {/informationCommunication} | {#monitoring} **✓** {/monitoring} |

## 3.2 IT Control Classification/Assertion

**Is this an IT control?** {^itControl}NO{/itControl}{#itControl}YES{/itControl}

{#itControl}**IT Control Type:** {itControlType}

|  |  |  |  |
| --- | --- | --- | --- |
| **Completeness** | **Accuracy** | **Validity** | **Authorization** |
| {#itAssertionCompleteness} **✓** {/itAssertionCompleteness} | {#itAssertionAccuracy} **✓** {/itAssertionAccuracy} | {#itAssertionValidity} **✓** {/itAssertionValidity} | {#itAssertionAuthorization} **✓** {/itAssertionAuthorization} |

## {/itControl}3.3 Financial Control Classification

### Financial Assertions

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Existence & Occurence** | **Completeness** | **Rights & Obligations** | **Presentation & Disclosure** | **Valuation & Allocation** |
| {#existenceOccurrence} **✓** {/existenceOccurrence} | {#completeness} **✓** {/completeness} | {#rightsObligations} **✓** {/rightsObligations} | {#presentationDisclosure} **✓** {/presentationDisclosure} | {#valuationAllocation} **✓** {/valuationAllocation} |

### Financial Statements Impacted

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Balance Sheet** | **Retained Earnings** | **Income Statement** | **Statement of Cash Flows** | **Notes to Financial Statement** |
| {#balanceSheet} **✓** {/balanceSheet} | {#retainedEarnings} **✓** {/retainedEarnings} | {#incomeStatement} **✓** {/incomeStatement} | {#cashFlowStatement} **✓** {/cashFlowStatement} | {#financialStatement} **✓** {/financialStatement} |

# 4.0 Impacts

|  |  |
| --- | --- |
| **Risks** | {#impact}{#risks}⮚{name}  {/risks}{/impact} |
| **Processes** | {#impact}{#processes}⮚{name}  {/processes}{/impact} |
| **Tasks** | {#impact}{#flowObjects}⮚{name}  {/flowObjects}{/impact} |

# 5.0 Documentation References

|  |  |  |
| --- | --- | --- |
| **Document** | **Extension** | **URL** |
| {#documents}{#target}{name} | {#fileInfo}{#extension}{extension}{/extension}{/fileInfo} | {#url}{url}{/url}{/target}{/documents} |

# 7.0 Revision History

|  |  |  |
| --- | --- | --- |
| **Version** | **Date Published** | **Modified By** |
| {#versions}{version} | {checkinDate} | {#checkinBy}{name}{/checkinBy}{/versions} |

{/object}{/objects}